



# Contribution of enhanced Antarctic Bottom Water formation to Antarctic warm events and millennial-scale atmospheric CO<sub>2</sub> increase



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## ABSTRACT

During Marine Isotope Stage 3, the Atlantic Meridional Overturning Circulation (AMOC) weakened significantly on a millennial time-scale leading to Greenland stadials. Ice core records reveal that each Greenland stadial is associated with a warming over Antarctica, so-called Antarctic Isotope Maximum (AIM), and that atmospheric CO<sub>2</sub> increases with Antarctic temperature during the long Greenland stadials. Here we perform transient simulations spanning the period 50–34 ka B.P. with two Earth System Models (LOVECLIM and the UVic ESCM) to understand the possible link between changes in the AMOC, changes in high latitude Southern Hemispheric climate and evolution of atmospheric CO<sub>2</sub>. We find that oceanic carbon releases due to the AMOC resumption during stadial/interstadial transitions lead to an atmospheric CO<sub>2</sub> increase. However, the atmospheric CO<sub>2</sub> increases observed during the first parts of AIM12 (~47.6 ka B.P.) and AIM8 (~39.8 ka B.P.) occur during periods of weak AMOC (HS5 and HS4 respectively) and could instead be explained by enhanced Antarctic Bottom Water transport. Enhanced Antarctic Bottom Water formation is shown to effectively ventilate the deep Pacific carbon and lead to CO<sub>2</sub> outgassing into the atmosphere. In addition, changes in the AMOC alone are not sufficient to explain the largest Antarctic Isotope Maxima (namely AIM12 and AIM8). Stronger formation of Antarctic Bottom Water during AIM12 and AIM8 would enhance the southern high latitude warming and lead to a better agreement with high southern latitude paleoproxy records. The robustness of this southern warming response is tested using an eddy-permitting coupled ocean sea-ice model. We show that stronger Antarctic Bottom Water formation contributes to Southern Ocean surface warming by increasing the Southern Ocean meridional heat transport.

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## 1. Introduction

During Marine Isotope Stage 3 (MIS3, 59.4–27.8 ka B.P.), Greenland and North Atlantic climate oscillated between stadial and interstadial conditions on a millennial time-scale (Masson-Delmotte et al., 2013), in what are called Dansgaard-Oeschger (DO) cycles. DO cycles are characterized by an abrupt warming of 8 to 15 °C within a few decades in Greenland (Dansgaard et al., 1993; Huber et al., 2006), followed by a gradual cooling and then a Greenland stadial. During some Greenland stadials, named Heinrich stadials (Sánchez-Gómez and Harrison, 2010), thick layers of ice-rafted debris are found in marine sediment cores from the North Atlantic (e.g. Hemming, 2004). Paleoproxy records and modeling studies have suggested that DO cycles and Heinrich stadials were

part of a continuum of variability that is generated through ice sheet-driven changes in the Atlantic Meridional Overturning Circulation (AMOC) (e.g. Elliot et al., 1998, 2002; Grousset et al., 2001; Sarin et al., 2001; Timmermann et al., 2003; Dokken et al., 2013; Menviel et al., 2014b).

During Greenland stadials cold and dry conditions prevailed over Greenland (Alley, 2000; Huber et al., 2006), the North Atlantic (e.g. Bard, 2002; Martrat et al., 2007) and Europe (e.g. Sánchez-Gómez et al., 2002; Fleitmann et al., 2009; Harrison and Sánchez-Gómez, 2010). Concurrently, Antarctic ice cores have revealed that during each Greenland stadial of MIS3, air temperature over Antarctica rose by 1 to 3 °C (EPICA and community members, 2006), in what are called Antarctic Isotope Maxima (AIM)<sup>1</sup> (EPICA and community members, 2006). Marine sediment cores from the Southern Ocean further suggest that the surface of the Southern

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<sup>1</sup> For simplicity, in this manuscript, AIM denotes both the singular maximum and plural maxima.

Ocean warmed during the large AIM events (Pahnke et al., 2003; Crosta et al., 2004; Kaiser et al., 2005; Caniupán et al., 2011; Lopes dos Santos et al., 2013).

In contrast to other subtropical basins, under present day conditions the ocean heat transport in the South Atlantic is equatorward due to the presence of a strong AMOC (Perez et al., 2011). Previous studies (Crowley, 1992; Stocker, 1998) suggested that the bipolar seesaw pattern in surface air temperature during AIM was due to heat redistribution in the Atlantic basin: as the AMOC weakens its associated northward heat transport also reduces. In addition, while atmospheric CO<sub>2</sub> increased during the large AIM (e.g. AIM12 and AIM8), little atmospheric CO<sub>2</sub> changes were observed during the small amplitude AIM (e.g. AIM10) (Ahn and Brook, 2008, 2014; Bereiter et al., 2012). It has thus been widely accepted that the Southern Hemispheric warming observed during Greenland stadials was the result of meridional heat transport reorganization due to AMOC changes and due to atmospheric CO<sub>2</sub> increase (e.g. Timmermann et al., 2010).

Idealized experiments featuring a shutdown of the AMOC under constant Last Glacial Maximum boundary conditions have been performed with several coupled Atmosphere–Ocean General Circulation Models. However, not all models display a warming signal over Antarctica and the Southern Ocean (Kageyama et al., 2013) concomitant with an AMOC shutdown. Furthermore, when a surface warming is simulated over Antarctica it is of the order of  $\sim 1^\circ\text{C}$  (Kageyama et al., 2009; Otto-Bliesner and Brady, 2010; Buiron et al., 2012), which is less than temperature anomaly estimates from Antarctic ice cores for the large AIM (e.g. AIM12 and AIM8) (Brook et al., 2005; Jouzel et al., 2007; Uemura et al., 2012). Finally, it is questionable whether the bipolar seesaw effect (i.e. meridional heat transport reorganization) can explain the duration of the warming observed over Antarctica during AIM12, which is over 1000 yr longer than Heinrich stadial 5 (HS5).

Changes in atmospheric CO<sub>2</sub> observed during MIS3 have been tentatively explained by previous modeling experiments. While some studies suggest that the carbon source to the atmosphere is of terrestrial origin (Scholze et al., 2003; Köhler et al., 2005; Obata, 2007; Menviel et al., 2008; Bozbiyik et al., 2011) others attribute it to an oceanic carbon release (Marchal et al., 1999; Schmittner et al., 2007; Schmittner and Galbraith, 2008; Bouttes et al., 2012; Matsumoto and Yokoyama, 2013). However these studies were very idealized as they were performed from either constant Last Glacial Maximum or pre-industrial conditions and used an idealized freshwater forcing.

Significant uncertainties remain regarding the mechanism leading to the large AIM and their relationship with changes in atmospheric CO<sub>2</sub>. Menviel et al. (2014a) recently suggested that a strengthening of Antarctic Bottom Water (AABW) transport during Heinrich stadial 4 (HS4) could release deep Pacific Ocean carbon and lead to an atmospheric CO<sub>2</sub> increase in general agreement with the one recorded in Siple Dome ice core at  $\sim 39.8$  ka B.P. (Ahn and Brook, 2014). Here we extend this hypothesis and show that enhanced AABW transport during Heinrich stadials could explain part of the observed changes in high Southern latitude temperature and atmospheric CO<sub>2</sub>.

The goal of this study is to better understand the origin of the large AIM, the associated changes in atmospheric CO<sub>2</sub> and their relationship with Greenland stadials. We simulate the sequence of high southern latitude millennial-scale events as well as the atmospheric CO<sub>2</sub> variations during the period 50–34 ka B.P. with two Earth System Models of Intermediate Complexity (LOVECLIM and the UVic ESCM). We use two different Earth System Models to test the robustness of the mechanism leading to high southern latitude warming and changes in atmospheric CO<sub>2</sub>.

Given that most of the observed ocean kinetic energy occurs on the mesoscale (10–100 km length scales) (Wunsch, 2007), there is

considerable debate surrounding the adequacy of ocean meridional heat transport simulated at coarse resolution, particularly in the Southern Ocean where mesoscale eddies are ubiquitous (Spence et al., 2012; Morrison et al., 2013; Bryan et al., 2014). Therefore, we further investigate the relationship between enhanced AABW formation and SST changes using a global eddy-permitting ocean sea–ice model.

## 2. Models and experimental setup

### 2.1. Transient simulations of MIS3 performed with LOVECLIM and the UVic ESCM

LOVECLIM consists of a free surface ocean general circulation model (CLIO) with a horizontal resolution of  $3^\circ$  longitude,  $3^\circ$  latitude and 20 depth layers (Goosse et al., 2010). The atmospheric component (ECBilt) is a spectral T21, three-level model based on quasi-geostrophic equations of motion and ageostrophic corrections. LOVECLIM also includes a dynamic–thermodynamic sea–ice model, a land surface scheme, a dynamic global vegetation model (VECODE) (Brovkin et al., 1997) and a marine carbon cycle model (LOCH) (Mouchet, 2011; Menviel et al., 2008).

The UVic Earth System Climate Model (UVic ESCM v2.9) (Weaver et al., 2001) consists of an ocean general circulation model (Modular Ocean Model, Version 2) with a resolution of  $3.6^\circ$  longitude and  $1.8^\circ$  latitude, coupled to a vertically integrated two dimensional energy–moisture balance model of the atmosphere including a parameterization of geostrophic wind stress anomalies, a dynamic–thermodynamic sea–ice model, a land surface scheme, a dynamic global vegetation model, a marine carbon cycle model (Schmittner et al., 2008). Sediment processes are represented using an oxic model of sediment respiration (Archer, 1996; Eby et al., 2009). The oceans barotropic momentum equations are solved with a rigid lid formulation and surface freshwater fluxes are converted to fluxes of salt with a constant salt to freshwater mass ratio of  $3.49 \times 10^{-2}$ .

Initial conditions for both LOVECLIM and the UVic ESCM were obtained by conducting a 15,000 yr equilibrium spin-up simulation using an atmospheric CO<sub>2</sub> content of 207.5 ppmv, orbital forcing for the time 50 ka B.P. and an estimate of the 50 ka B.P. ice sheet orography and albedo, which were obtained from a 130 ka off-line ice sheet model simulation (Abe-Ouchi et al., 2007).

In LOVECLIM the organic matter that is not remineralized as well as the carbonate and opal that are not dissolved are permanently preserved in the sediments. This leads to a loss of alkalinity, carbon, phosphates and silicates, which is compensated by the river influx of these components (Menviel et al., 2008). For the LOVECLIM equilibrium run, the alkalinity over Dissolved Inorganic Carbon (DIC) ratio in the riverine input was set to 1.6, thus allowing for a greater oceanic carbon reservoir at 50 ka B.P. than at pre-industrial time. In a subsequent 3000 yr equilibrium run atmospheric CO<sub>2</sub> becomes a prognostic variable and the riverine input of alkalinity and DIC then compensates for the loss due to organic matter and carbonate sedimentation. As the UVic ESCM includes a sediment model, no such riverine input adjustment is made and the oceanic carbon reservoir simulated at 50 ka B.P. is smaller than the pre-industrial one. A 3000 yr equilibrium run with prognostic atmospheric CO<sub>2</sub> was also run with the UVic ESCM.

Transient simulations of the period 50–34 ka B.P. (L–Tr and U–Tr) were run with continuously varying orbital and ice sheet forcing following the methodology of Timm et al. (2008), but without any acceleration, and with prognostic atmospheric CO<sub>2</sub>. As both models do not include an interactive ice sheet, freshwater withholding from the ocean during phases of ice sheet growth and freshwater release into the ocean as a result of ice sheet calving and ablation are not explicitly captured. To mimic the time-

evolution of these terms and their effect on the oceanic circulation, we apply a freshwater forcing to the North Atlantic region (55°W–10°W, 50°N–65°N) (Fig. 1a). The freshwater forcing time series is obtained through an iterative procedure, that optimizes the anomalous freshwater flux such that the simulated temperature anomalies in the eastern subtropical North Atlantic best match the target alkenone-based SST anomalies reconstructed from the Iberian margin core MD01-2443 (Martrat et al., 2007). Further details on the freshwater forcing applied and the impact on Northern Hemispheric climate in LOVECLIM are discussed in Menviel et al. (2014b). A similar forcing was first applied in both models, but due to the model's sensitivity to freshwater input, the freshwater forcing applied in the UVic ESCM was slightly adjusted.

Moderate changes in the mid/high Southern latitudes hydrological cycle can significantly impact surface salinity. As the atmospheric models used here are simple, it is thus possible that our standard experiments might not capture the past variability of Antarctic Bottom Water accurately. Hence, in subsequent additional transient experiments (L-TrS, U-TrS), a salt flux is added over the Indian and Pacific sides of the Southern Ocean (40°S–50°S, 0°E–120°E; 50°S–62°S, 120°E–280°E, Figs. 2a and 3a). A salt flux (0.1 Sv) is also added over the Eastern Equatorial Pacific (0°N–11°N, 104°W–88°W) in both L-TrS and U-TrS between 39.7 and 38.8 ka B.P. The forcing applied over the Southern Ocean, designed to obtain a better agreement between simulated and estimated Antarctic air temperature anomalies, is equivalent to an increase of evaporation–precipitation of 24 cm/yr (–0.1 Sv) to 48 cm/yr (–0.2 Sv). Over the Southern Ocean at 50 ka B.P., the simulated annual precipitation is 70 cm/yr compared to an annual evaporation of 50 cm/yr. Instead of the Southern Pacific and Indian Ocean being regions of negative evaporation–precipitation, they become neutral or slightly positive.

In addition, while the vegetation cover is allowed to vary, the terrestrial carbon reservoir is decoupled in the experiments described above to isolate and thus better understand the changes in the oceanic carbon reservoir. The effect of terrestrial carbon reservoir changes is tested in additional experiments L-TrV, L-TrVS, U-TrV and U-TrVS, which are similar to respectively L-Tr, L-TrS, U-Tr and U-TrS (Table S1). Experiments L-TrV and L-TrVS are only performed for the period 42–36 ka B.P.

## 2.2. Idealized experiment with an eddy-permitting ocean–sea–ice model

Experiments are conducted with the GFDL-MOM025 global ocean–sea–ice model, which is based on the Geophysical Fluid Dynamics Laboratory CM2.4 and CM2.5 coupled climate models (Farneti et al., 2010; Delworth et al., 2012). The model has a 1/4° horizontal resolution with a bipolar Arctic north of 65°N and a Mercator grid south to 65°S. The model has 50 vertical levels and is coupled to the GFDL Sea Ice Simulator dynamic/thermodynamic sea–ice model. The atmospheric state for ACCESS-OEP is prescribed and converted to ocean surface fluxes by bulk formulae, consequently the model does not resolve air–sea feedbacks. The atmospheric forcing for all ACCESS-OEP simulations is derived from version 2 of the Coordinated Ocean–ice Reference Experiments Normal Year Forcing (CORE-NYF) reanalysis data (Griffies et al., 2009; Large and Yeager, 2009). CORE-NYF provides a climatological mean atmospheric state at 6-h intervals for 1 yr and includes synoptic variability.

The control ACCESS-OEP simulation (CNTRL) was equilibrated with 100 yr of Normal Year Forcing (CORE-NYF). In CNTRL the sea surface salinity is restored at every ocean grid point to an observed seasonally-varying climatology on a 60-day time-scale over the upper 10 m grid cell. An enhanced AABW water mass transformation experiment (ANT<sub>SALT</sub>) is initiated from year 100 of

CNTRL. In ANT<sub>SALT</sub> the sea surface salinity restoring climatology is the same as CNTRL, except within 4° latitude of the Antarctic coastline where it is increased by 0.5 psu. The restoring time-scale remains fixed at 60 days. Both ANT<sub>SALT</sub> and CNTRL are integrated for an additional 40 years. To address linear model drift, anomalies are determined by differencing ANT<sub>SALT</sub> from the concomitantly extended CNTRL simulation.

## 3. Simulating the transient climate evolution during MIS3

### 3.1. Results of standard transient experiments

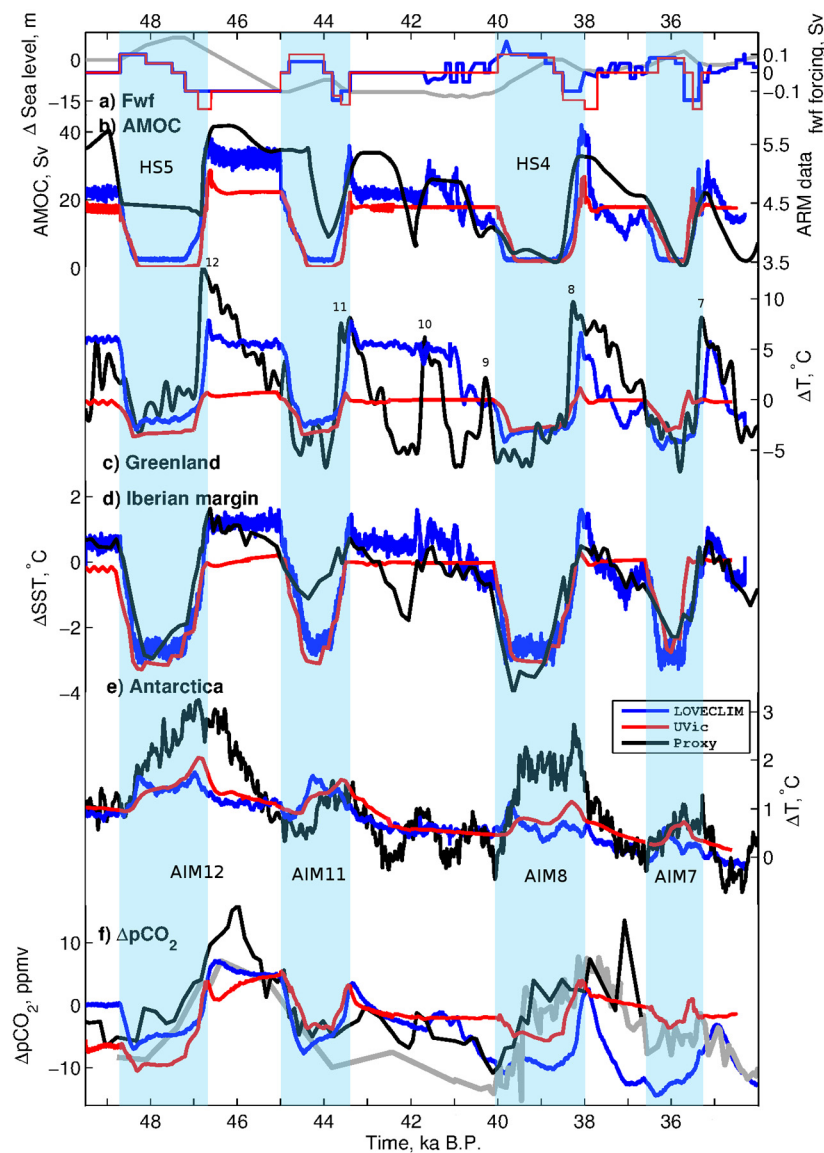
As discussed in Menviel et al. (2014b), the simulated AMOC changes during MIS3 in LOVECLIM (L-Tr, Fig. 1b) yield a ~9°C surface air temperature decrease over Greenland and a 3°C sea surface cooling off the Iberian margin during Heinrich stadials, in good agreement with paleoproxy records (Fig. 1, blue lines) (Huber et al., 2006; Martrat et al., 2007). The UVic ESCM also simulates changes in North Atlantic SST that are comparable to the Iberian margin record (U-Tr, Fig. 1, red lines). The timing of air temperature variations simulated over Greenland with the UVic ESCM is in good agreement with the NGRIP temperature record, but the amplitude is small due to damping by the large sea ice cover over the Greenland and Norwegian Seas during Interstadials (not shown).

As seen in Fig. 1e, the air temperature over Antarctica increases by only 0.6°C in experiments L-Tr and U-Tr during AIM12 (49–45 ka B.P.) and AIM8 (40–37 ka B.P.). In contrast, temperature estimates from EPICA Dome C ice core (Jouzel et al., 2007) suggest a 2°C increase during these events. More importantly, in both models, the amplitude of the simulated warming over Antarctica is similar for all the events, i.e. the amplitude of AIM8 is similar to that of AIM7, whereas the amplitudes of the temperature estimate (Brook et al., 2005; Jouzel et al., 2007; Uemura et al., 2012), sodium flux (Röthlisberger et al., 2002) and atmospheric CO<sub>2</sub> anomalies (Bereiter et al., 2012; Ahn and Brook, 2014) recorded in Antarctic ice cores are much larger for AIM12 and AIM8 than for AIM11, AIM10 and AIM7 (Fig. 1).

In the model experiments, atmospheric CO<sub>2</sub> is prognostic and increases by 10 to 15 ppmv at the end of AIM12 and AIM8 due to the AMOC resumption (Fig. 1g). Experiments performed with both LOVECLIM and the UVic ESCM thus fail to simulate the atmospheric CO<sub>2</sub> increase occurring during AIM12 and AIM8 (Bereiter et al., 2012; Ahn and Brook, 2014).

We perform an additional experiment with LOVECLIM forced with the Antarctic ice core CO<sub>2</sub> evolution as recorded in the EDML ice core (not shown) to evaluate the impact of the atmospheric CO<sub>2</sub> forcing on Antarctic air temperature. Such a simulation does not lead to a different air temperature anomaly evolution over Antarctica during AIM12. During AIM8, instead of showing a very short lived Antarctic positive air temperature anomaly, forcing with the recorded pCO<sub>2</sub> evolution extends the positive air temperature anomaly over Antarctica for the duration of HS4, thus reducing the mismatch. However, the simulation still does not capture the full amplitude of the warming. The climate sensitivity of LOVECLIM in the version used here is 2.04°C and 3.12°C respectively for transient and equilibrium simulations. This climate sensitivity is very close to the CMIP5 multi-model mean (1.8 and 3.2°C respectively; Flato et al., 2013) and thus we doubt that a low climate sensitivity could explain the discrepancy between model and paleoproxy records.

The transient experiments performed by both models clearly show that the initiation of the Antarctic warming is in phase with the AMOC shutdown (Fig. 1). The warming over Antarctica attains its maximum amplitude in both models about 100 yr after the total shutdown of the AMOC. A second peak warming is simulated in both models, at the very beginning of the AMOC recovery and is



**Fig. 1.** Timeseries of a) applied North Atlantic (LOVECLIM in blue, the UVic ESCM in red) freshwater forcing (Sv) and associated change in global mean sea level (m, grey); b) simulated maximum meridional overturning circulation in the North Atlantic (Sv) compared to North Atlantic marine sediment cores ARM data (Kissel et al., 2008); c) simulated NE Greenland air temperature anomalies (40°W–10°E, 66°N–85°N) compared to the NGRIP temperature reconstruction (Huber et al., 2006) on the GICC05 timescale (Svensson et al., 2008), with DO numbers; d) simulated SST anomalies off the Iberian margin (15°W–8°W, 37°N–43°N) compared to alkenone-based SST anomalies from marine sediment core MD01-2444 (Martrat et al., 2007) on the GICC05 timescale; e) air temperature anomalies (°C) averaged over Antarctica (90°S–75°S) compared to temperature anomaly estimates from EPICA Dome C ice core (Jouzel et al., 2007) on the EDC3 time-scale (Parrenin et al., 2007), shifted by –400 yr; f) simulated atmospheric CO<sub>2</sub> anomalies (ppmv) compared to pCO<sub>2</sub> anomalies measured in EDML and Talos Dome ice cores (Bereiter et al., 2012) (black) and Siple Dome ice core (Ahn and Brook, 2014) (grey). Paleoproxy records are in grey, experiment L–Tr is in blue and U–Tr in red. The light blue shading indicates the main stadials of MIS3. (For interpretation of the references to color in this figure, the reader is referred to the web version of this article.)

associated with increased atmospheric CO<sub>2</sub> and enhanced Antarctic Bottom Water formation (Figs. 2b, g and 3b, g). After this initial warming, Antarctic temperature decreases in both models. When the AMOC reaches its full amplitude, Antarctic temperature has fully recovered as well.

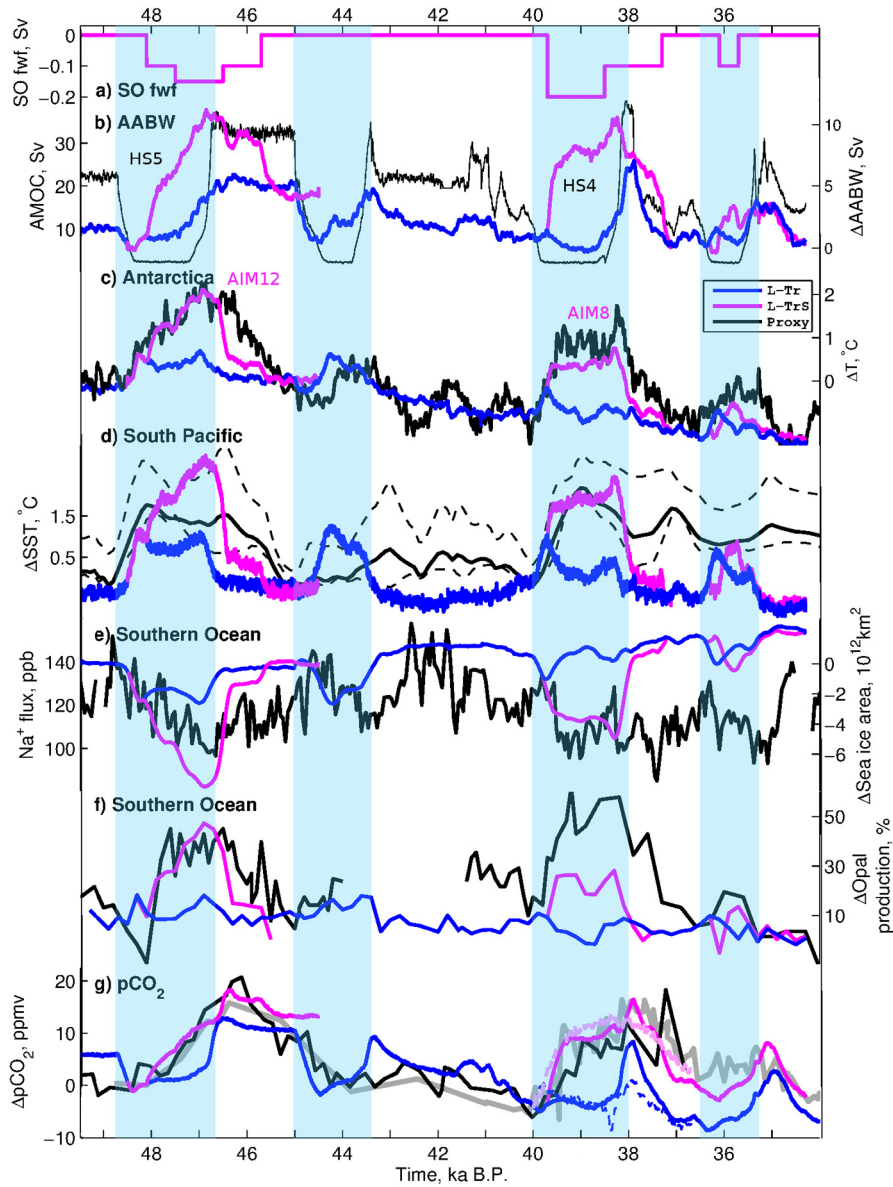
Meridional heat transport reorganization due to AMOC changes (Crowley, 1992; Stocker, 1998) can explain the moderate Antarctic warming observed during AIM11, AIM10 and AIM7, as well as the initial warming occurring during AIM12. In our simulations performed with LOVECLIM and the UVic ESCM, the poleward heat transport averaged between 20°S and 70°S increases by respectively 15% and 6% during the AMOC shutdown. However, changes in meridional heat transport due to AMOC changes cannot fully explain the largest events AIM12 and AIM8. Here we hypothesize that changes in North Atlantic Deep Water might not be sufficient

to explain high Southern latitude climate change and we further suggest that changes in Antarctic Bottom Water could modulate high Southern latitude climate as well as the marine carbon cycle.

### 3.2. Results of transient experiments with episodic enhancement of Antarctic Bottom Water formation

The standard transient experiments (L–Tr and U–Tr) display 0.7 and 1.4 °C warming respectively over Antarctica and at the surface of the Southern Ocean during all the AIM of Marine Isotope Stage 3 (Figs. 2 and 3, blue lines). While in these experiments AABW displays a slight weakening as the AMOC shuts down, followed by a 3 to 5 Sv increase during the AMOC recovery, experiments forced with an additional salt flux over the Southern Ocean (equivalent to a net E–P anomaly of 24 to 48 cm/yr) simulate ~10 Sv stronger AABW.

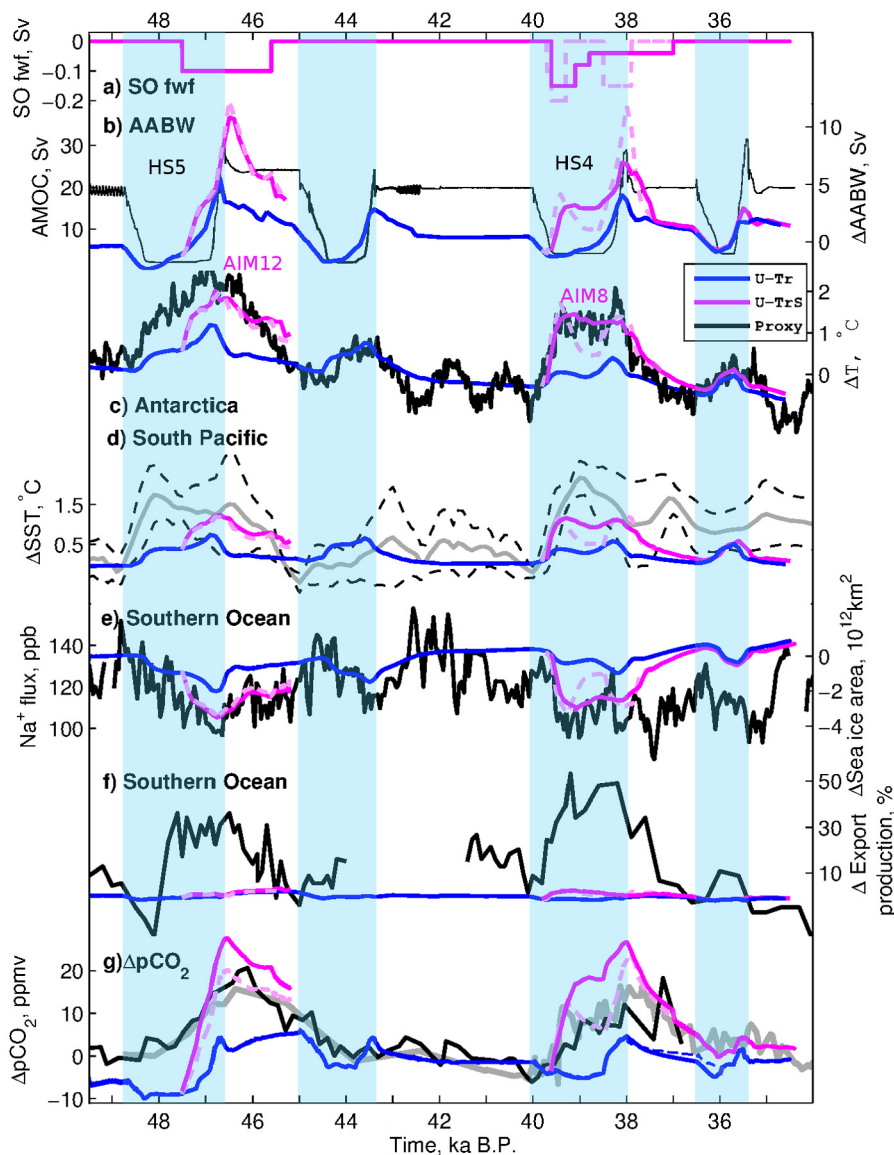




**Fig. 2.** Transient LOVECLIM simulations (L-Tr, blue and L-TrS, magenta) compared to paleoproxy records (black). Timeseries of a) applied buoyancy forcing (Sv) over the Southern Ocean; b) simulated changes in Antarctic Bottom Water (AABW, Sv) and in the AMOC (Sv, grey); c) air temperature anomalies ( $^{\circ}\text{C}$ ) averaged over Antarctica ( $90^{\circ}\text{S}$ – $75^{\circ}\text{S}$ ) compared to temperature anomaly estimates from EPICA Dome C ice core (Jouzel et al., 2007) on the EDC3 time-scale (Parrenin et al., 2007) (black); d) SST anomalies averaged over the South Pacific Ocean ( $120^{\circ}\text{E}$ – $285^{\circ}\text{E}$ ,  $55^{\circ}\text{S}$ – $35^{\circ}\text{S}$ ) compared to a SST anomaly composite from South Pacific marine sediment cores (Pahnke et al., 2003; Kaiser et al., 2005; Caniupán et al., 2011; Lopes dos Santos et al., 2013). Dashed black lines represent the +1 and –1 standard deviation of the composite; e) simulated sea-ice area ( $\times 10^{12} \text{ m}^2$ ) over the Southern Ocean compared to the sea salt flux ( $\text{Na}^+$ ) measured in EPICA Dome C ice core (black) (Röthlisberger et al., 2002) on the EDC3 time-scale (Parrenin et al., 2007); f) opal production anomaly (%) averaged over the South Atlantic Ocean ( $50^{\circ}\text{W}$ – $20^{\circ}\text{E}$ ,  $42^{\circ}\text{S}$ – $60^{\circ}\text{S}$ ) compared to the opal flux anomaly (%) record from South Atlantic marine sediment core TNO57-14PC (Anderson et al., 2009); g) simulated atmospheric  $\text{CO}_2$  (ppmv) anomalies compared to  $\text{pCO}_2$  anomalies measured in EDML and Talos Dome ice cores (Bereiter et al., 2012) (black) and Siple Dome ice core (Ahn and Brook, 2014) (grey). Experiments L-TrV and L-TrVS are in dashed blue and magenta respectively. The South Pacific SST anomaly composite was generated by aligning the individual SST records of marine sediment cores MD97-2120 (Pahnke et al., 2003), ODP 1233 (Kaiser et al., 2005), MD07-3128 (Caniupán et al., 2011) and MD03-2607 (Lopes dos Santos et al., 2013) onto the EDML  $\delta^{18}\text{O}$  records. For core MD03-2607 the Uk37 SST estimate was used. The EPICA Dome C ice core temperature and nss  $\text{Ca}^{2+}$  estimates were shifted by –400 yr. Due to the tuning used for the stratigraphy of core TNO57-14PC (Anderson et al., 2009), AIM12 was centered around 45 ka B.P. We thus shifted the 47 to 41 ka B.P. portion of the record by –2600 yr. (For interpretation of the references to color in this figure, the reader is referred to the web version of this article.)

In our experiments, a strengthening of AABW transport has a significant impact on Southern Hemispheric climate but does not lead to any significant changes in Northern Atlantic air or sea surface temperature (not shown). Stronger AABW during AIM12 and AIM8 doubles the simulated warming at high Southern latitude thus leading to  $1.5$ – $2^{\circ}\text{C}$  temperature increase over Antarctica and at the surface of the Southern Ocean, in better agreement with paleoproxy records (Figs. 2 and 3, magenta lines). Estimates from Antarctic ice core records (Brook et al., 2005; Jouzel et al., 2007; Uemura et al., 2012) as well as SST proxy records (e.g. Pahnke et

al., 2003; Crosta et al., 2004; Kaiser et al., 2005; Pahnke and Zahn, 2005; Caniupán et al., 2011; Lopes dos Santos et al., 2013) suggest a  $2$  to  $3^{\circ}\text{C}$  warming over Antarctica and the Southern Ocean, respectively, during AIM12 and AIM8. While quantitative estimates of these warming events are subject to uncertainties, the anomalies registered for AIM12 and AIM8 are much larger than for the other Antarctic Isotope Maxima (i.e. AIM11, 10, 9 and 7). In addition, Southern Hemispheric sea-ice area is now reduced by about 40% in experiments L-TrS and U-TrS compared to 10% in experiments L-Tr and U-Tr (Figs. 2 and 3).



**Fig. 3.** Same as Fig. 2, but for experiments performed with the UVic ESCM: U-Tr (solid blue), U-TrS (solid magenta), U-TrV (dashed blue) and U-TrVS (dashed pink). (For interpretation of the references to color in this figure, the reader is referred to the web version of this article.)

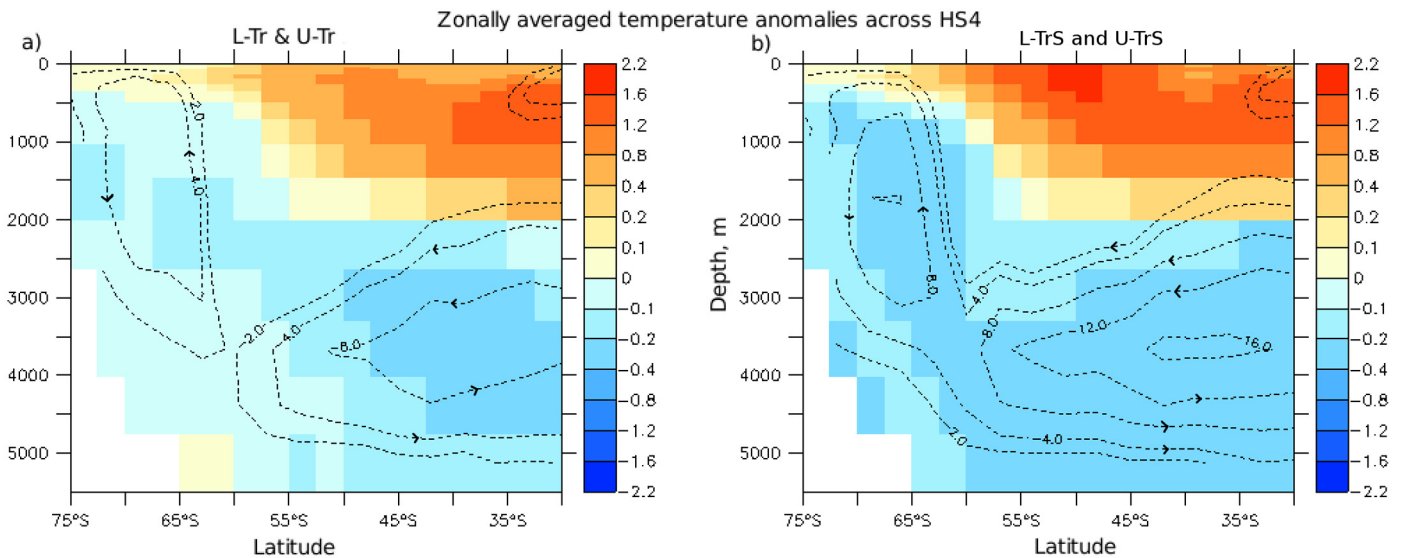
Enhanced AABW formation in LOVECLIM and the UVic ESCM leads to stronger downwelling and convective overturn south of 70°S and stronger upwelling between 60°S and 70°S. As Circumpolar Deep Water is slightly warmer than the overlying surface waters, enhanced convection over the Southern Ocean leads to warmer conditions at the surface, while inducing a  $\sim 0.4^\circ\text{C}$  subsurface cooling south of 60°S as well as below 2000 m (Fig. 4). In LOVECLIM, the oceanic poleward heat transport averaged between 20°S and 70°S increases by an additional 12% when the AABW is enhanced by 10 Sv, thus explaining the additional Southern Ocean warming. As the AMOC shuts down, the Antarctic Circumpolar Current (ACC) strengthens by 10% and enhanced AABW formation further strengthens the ACC by another 12% (not shown). The ACC is not only zonal but has a meridional component at certain longitudes, and thus plays a role in transporting heat poleward (Volkov et al., 2010).

The modes of variability associated with changes in North Atlantic Deep Water (NADW) and AABW are highlighted in an Empirical Orthogonal Function (EOF) analysis performed on experiments L-TrS and U-TrS (Fig. 5). As seen by the high correlation between the time evolution of the first EOF and normalized air temperature

anomalies inferred from NGRIP, Greenland (Fig. 5 left), the first EOF of the simulated air temperature is associated with changes in NADW and only shows a  $\sim 0.5^\circ\text{C}$  warming over Antarctica following an AMOC shutdown. Stronger AABW (2nd EOF) enhances the high southern latitude warming, in phase with the AIM, particularly in the latitudinal band 60–80°S (Fig. 5 right). The results of this EOF analysis are in agreement with a regression of the simulated air temperature on changes in NADW and AABW, respectively (not shown), which support the fact that the 1st EOF is associated with changes in NADW and the 2nd with AABW variations.

In LOVECLIM the high southern latitude warming comes to an abrupt end during the AMOC recovery. The poleward heat transport between 20°S and 70°S increases by  $\sim 15\%$  when the AMOC shuts down and can increase a further 12% when the AABW is enhanced, thus reaching  $\sim 27\%$  greater poleward heat transport to high southern latitudes. However, as the AMOC recovers the poleward heat transport anomaly ends. Enhanced AABW can delay the Antarctic cooling by about 200 yr following the AMOC resumption.

On the other hand, in the UVic ESCM, stronger AABW still leads to positive temperature anomalies over high southern latitudes



**Fig. 4.** Composite of zonally averaged ocean temperature anomalies ( $^{\circ}\text{C}$ ) across HS4 (AIM8) (10 yr averaged of 39.2 ka B.P. compared to 10 yr averaged of 41.7 ka B.P.) for (a) experiments L-Tr and U-Tr and (b) experiments L-TrS and U-TrS. Overlaid is a composite of the globally averaged streamfunction for a 10 yr average at 39.2 ka B.P. Only negative values of the globally averaged streamfunction are shown and the circulation is counter-clockwise.

during and after the AMOC recovery. This is first explained by the weak effect of AMOC changes on high southern latitudes temperature in the UVic ESCM. It could also be due to the higher Gent and McWilliams thickness diffusion coefficient in the UVic ESCM ( $800 \text{ m}^2/\text{s}$ ) compared to LOVECLIM ( $200 \text{ m}^2/\text{s}$ ).

As a summary, without any changes in AABW, there is no lag between the Antarctic cooling and the AMOC recovery, and the full amplitude of the large Antarctic Isotope Maxima (AIM12 and AIM8) cannot be simulated. If changes in poleward heat transport drive the high southern latitude warming and the AABW formation is enhanced, as is the case in LOVECLIM, then the Antarctic cooling will lag the AMOC recovery by a maximum of 200 yr. This indicates that high southern latitude climate is more sensitive to an AABW strengthening when the AMOC is weak than when it is strong. As the AMOC strengthens, heat is transported to the North Atlantic and the high southern latitudes cool, overwhelming the surface air temperature warming due to AABW variations.

#### 4. Simulating the transient evolution of atmospheric $\text{CO}_2$

In Section 3.2 we have shown that changes in AABW could play a significant role in modulating Southern Hemisphere climate during a shutdown of the AMOC, with stronger AABW leading to warmer conditions at high southern latitudes. In this section we show that strong AABW formation during the AMOC cessation could lead to an atmospheric  $\text{CO}_2$  increase.

In the standard transient experiments performed with LOVECLIM and the UVic ESCM, atmospheric  $\text{CO}_2$  shows little change or decreases by a few ppmv as the AMOC shuts down (Figs. 2 and 3, blue lines). But, as the deep Atlantic ventilation resumes, atmospheric  $\text{CO}_2$  increases by  $\sim 10$  ppmv. The larger and the longer the AMOC shutdown, the larger the atmospheric  $\text{CO}_2$  increase during the resumption. Increased solubility due to colder and fresher conditions during stadials, primarily in the North Atlantic basin, enhances the carbon storage in the deep Atlantic (Menviel et al., 2014a) (Figs. 6a, S5 and S6). The Atlantic carbon reservoir increase can be further explained by the longer residence time in that basin, which leads to an increase in remineralized nutrients (Figs. S2 and S3).

However, high resolution ice core records from Antarctica suggest that atmospheric  $\text{CO}_2$  started to increase at  $\sim 47.8$  ka B.P.

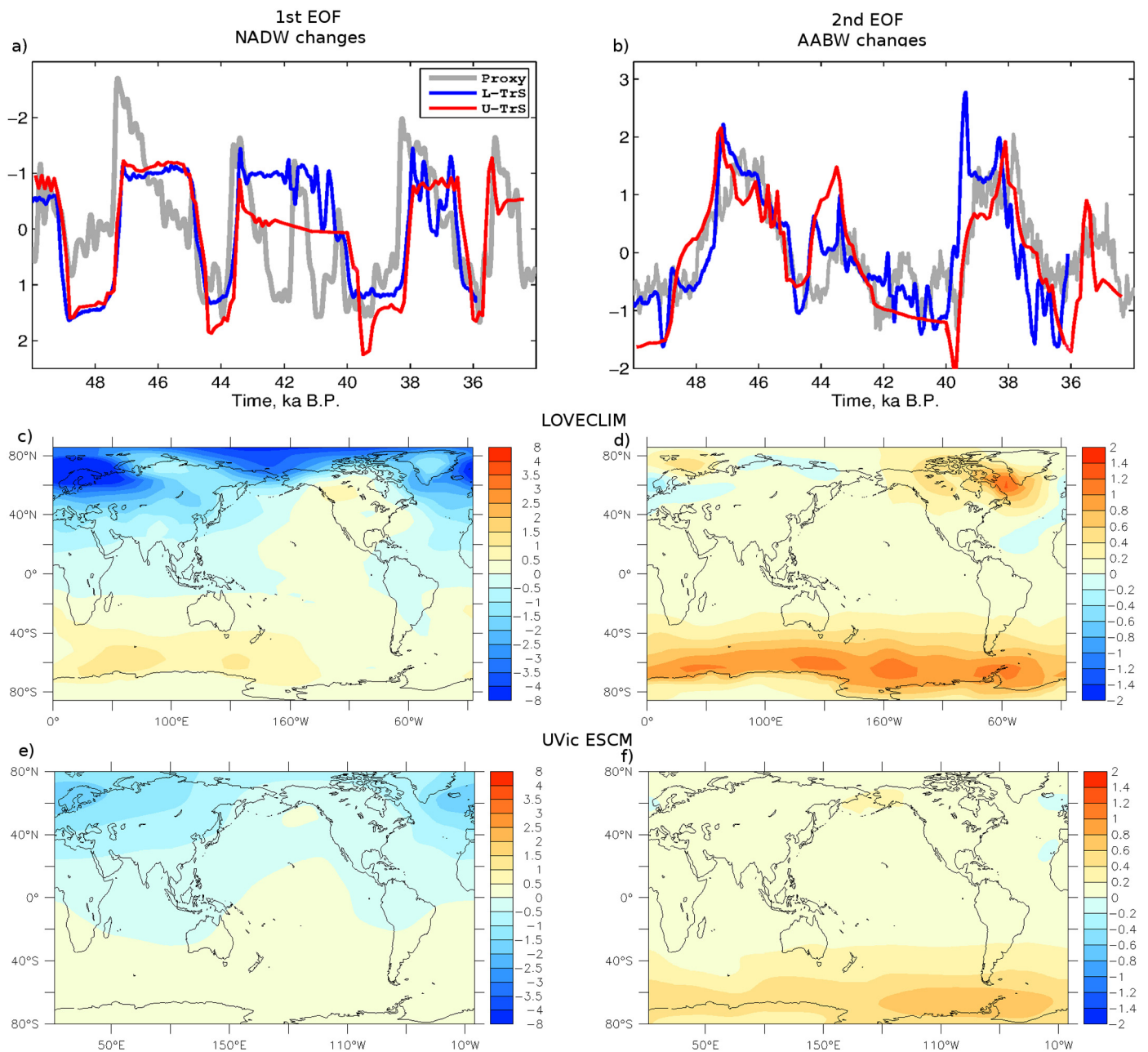
during AIM12 and  $\sim 39.8$  ka B.P. during AIM8 (Ahn and Brook, 2008, 2014; Bereiter et al., 2012), thus during times of weak AMOC. While the standard experiments simulate little atmospheric  $\text{CO}_2$  change during that time, experiments in which the AABW strengthens display an atmospheric  $\text{CO}_2$  increase of  $\sim 13$  ppmv (Figs. 2g and 3g). The ventilation of deep and bottom Pacific water in experiments with enhanced AABW and NPDW (L-TrS and U-TrS) releases deep Pacific carbon through the Southern Ocean (Fig. 6b, see also Menviel et al., 2014a).

High-resolution records from the Southern Ocean suggest that the opal flux and marine export production increased significantly during AIM12 and AIM8 (Sachs and Anderson, 2005; Anderson et al., 2009). Our standard transient experiments display no significant changes (L-Tr) or slightly reduced (U-Tr) export production in the Southern Ocean during these events in contrast with the proxies (Fig. S1). However, enhanced AABW in experiment L-TrS increases the upwelling of nutrient rich waters. Stronger AABW production also leads to a poleward shift of the sea-ice edge and a warming of the surface waters. The combination of these changes lead to a 20 to 40% increase in opal and marine export production over the Southern Ocean (Fig. 2f, Fig. S1) in LOVECLIM.

If we decompose the DIC response into contributions from the soft-tissue pump ( $\Delta C_{\text{soft}}$ ) and the carbonate pump (see Supplementary Material) (Kwon et al., 2009, 2011), then most of the DIC changes can be explained by  $\Delta C_{\text{soft}}$  in all experiments performed here (Figs. S2 and S3). As NADW slows down, the deep Atlantic ventilation decreases and respired carbon accumulates.  $\Delta C_{\text{soft}}$  thus increases in the Atlantic Ocean in both models. In contrast, as AABW and NPDW strengthen, less respired carbon accumulates and more carbon is transferred from depth to the surface (Figs. 6b).

Figs. S2 and S3 show that  $\Delta C_{\text{soft}}$  decreases above 3000 m in the Pacific Ocean in both models as well as in the Southern Ocean in experiment U-TrS. In LOVECLIM, export production increases over the Southern Ocean when AABW strengthens (Fig. S1), which would tend to decrease atmospheric  $\text{CO}_2$  and thus provide a negative feedback. This enhanced export production over the Southern Ocean reduces the preformed nutrient content exported in AABW (Marinov et al., 2006) and could explain the lack of  $\Delta C_{\text{soft}}$  change in the Southern Ocean in experiment L-TrS, compared to experiment U-TrS.





**Fig. 5.** First (left) and second (right) EOF of detrended air temperature anomalies. (a, b) Normalized principal component of EOF of detrended air temperature anomalies for experiments L-TrS (blue) and U-TrS (red) compared to normalized Greenland NGRIP (e) (Huber et al., 2006) and Antarctic (f) (Parrenin et al., 2007) ice core temperature anomaly records (grey). EOF pattern for (c, d) experiment L-TrS and (e, f) experiment U-TrS; The 1st (2nd) EOF explains 88% (8%) and 76% (21%) of the variance for LOVECLIM and the UVic ESCM respectively. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

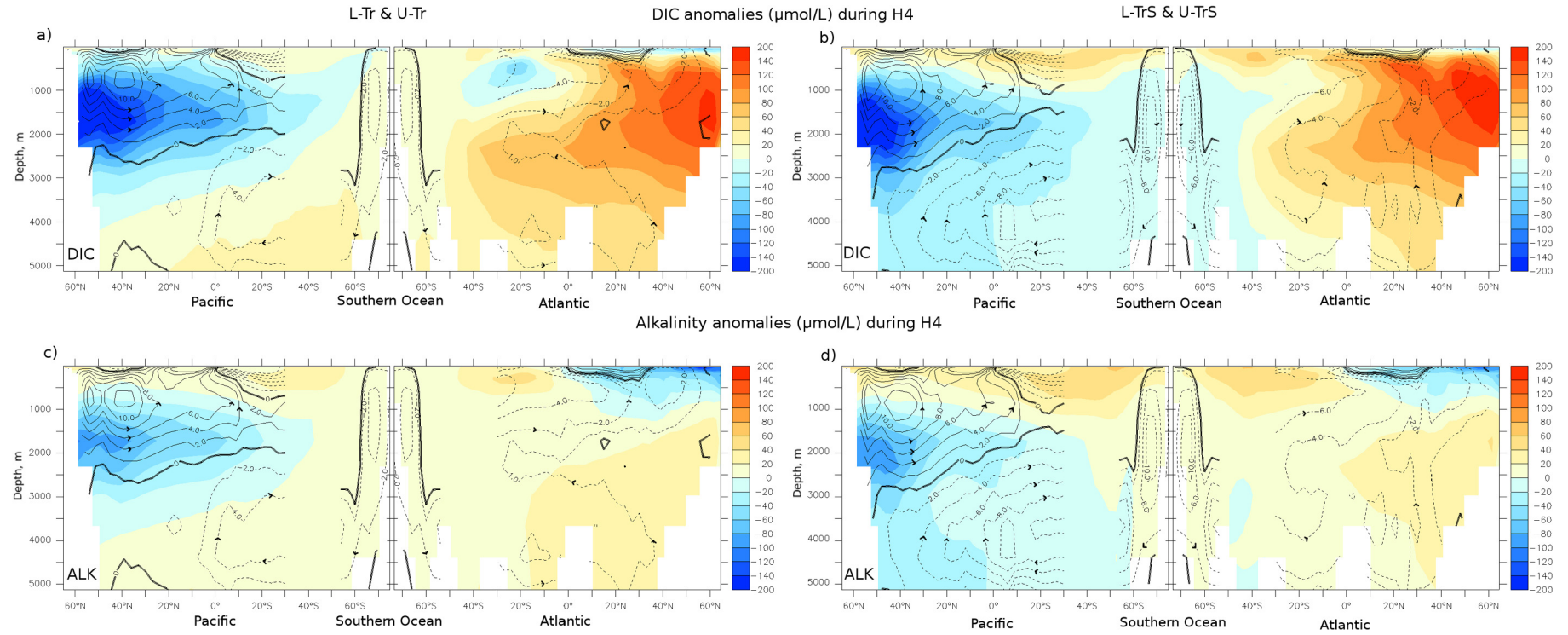
A small contribution of  $\Delta C_{carb}$  ( $\sim 20\%$ ) is found in the deep North Atlantic for experiments performed with LOVECLIM. Reduced ventilation in the deep North Atlantic leads to a DIC increase, which increases the corrosiveness of the water, increases carbonate dissolution and thus leads to a small alkalinity increase (Fig. 6c). This decrease in deep South Atlantic ocean carbonate ion content (not shown) is in agreement with paleoproxy reconstructions (Yu et al., 2014). Changes in  $\Delta C_{carb}$  are also significant at intermediate depth in the North Pacific, whereby enhanced NPDW reduces the vertical alkalinity gradient by bringing high alkalinity waters to the surface. It should be noted that  $\Delta C_{carb}$  contributions to changes in  $pCO_2$  are an order of magnitude smaller than the  $\Delta C_{soft}$  contributions (Fig. S4).

In experiments where the terrestrial carbon reservoir is kept constant, changes in atmospheric  $CO_2$  are mostly due to changes

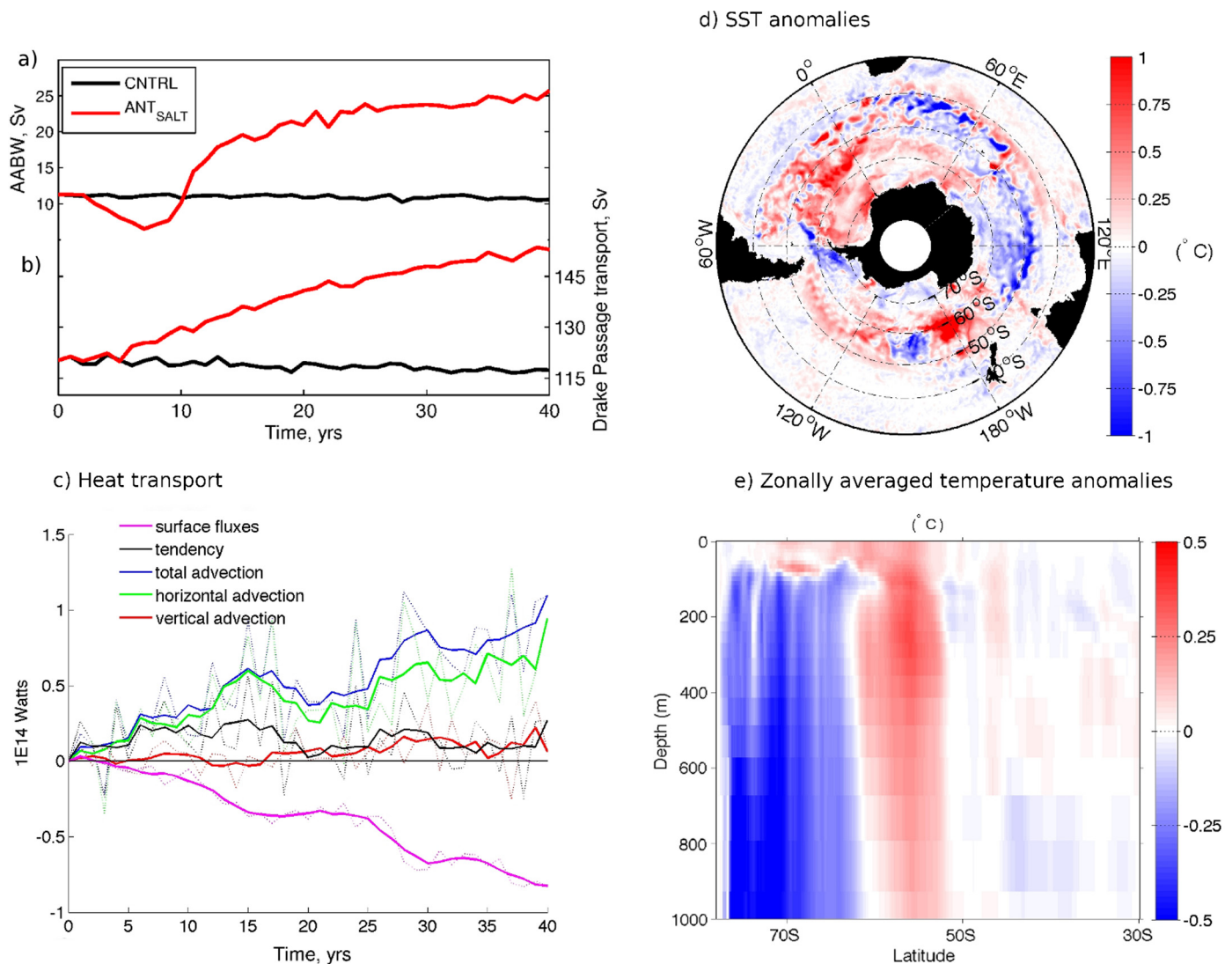
in the partial pressure of  $CO_2$  at the ocean's surface, which is in turn a function of changes in temperature, salinity, DIC and Alkalinity (see Supplementary Material). Solubility changes can explain up to 50% of the  $pCO_2$  variations in experiments L-Tr, L-TrS and U-Tr (Figs. S5a and S6a). Stronger NPDW and AABW also induce relatively warm and saline conditions at the surface of the Pacific Ocean, thus decreasing  $CO_2$  solubility and leading to an atmospheric  $CO_2$  increase (Menviel et al., 2014a) (Figs. S4a and S5a).

As the mean surface ocean alkalinity (ALK) and DIC are the same order of magnitude and the Revelle factors of DIC and alkalinity are 10 and  $-9.4$  respectively (Takahashi et al., 1993), changes in surface ocean  $pCO_2$  due to alkalinity and DIC are a function of  $\Delta DIC - \Delta ALK$  (Sarmiento and Gruber, 2006) (see Supplementary Material). Similar to DIC, alkalinity is much higher in the deep ocean than at the surface, particularly in the Pacific





**Fig. 6.** DIC (top) and alkalinity (bottom) anomalies (μmol/L) during HS4 (39.1 ka B.P.) compared to 40 ka B.P. for (left) a composite of standard experiments (L-Tr and U-Tr) and (right) a composite of experiments with enhanced AABW (L-TrS and U-TrS). Overlaid are composite of respectively the Pacific, global (for the Southern Ocean) and Atlantic streamfunction for a 10 yr average at 39.1 ka B.P. The sense of flow is indicated by the arrows.



**Fig. 7.** Results of the experiment performed with a global eddy-permitting ocean sea-ice model: Timeseries of a) maximum transport in the lower cell (Sv) and b) transport across the Drake Passage (Sv) for experiments CNTRL (black) and ANT<sub>SALT</sub> (red); c) Timeseries of non-negligible annual mean heat tendency anomaly (10<sup>14</sup> Watts) integrated between 50°–60°S and over the upper 1000 m of the ocean in experiment ANT<sub>SALT</sub>, showing that the Southern Ocean SST increase is mainly due to greater horizontal heat advection (green line) with a significant SAT damping (magenta). Solid lines represent a 5-yr running mean. See [Appendix A](#) for details on the heat budget calculations. d) Annual mean SST anomalies (°C) and e) zonally averaged ocean temperature anomalies (°C) for ANT<sub>SALT</sub> compared to CNTRL and averaged over years 31–40.

(Key et al., 2004). Enhanced ventilation of the deep Pacific thus also induces an alkalinity increase at the surface of the Pacific and Southern Oceans (Figs. 6d, S4b and S5b), which dampens the surface ocean pCO<sub>2</sub> increase. During an AMOC shutdown, the sensitivity of enhanced AABW to atmospheric CO<sub>2</sub> increase will thus depend on the initial vertical gradient of DIC-ALK. As this vertical gradient is higher in the 50 ka control run of the UVic ESCM than in the LOVECLIM 50 ka control run, the UVic ESCM displays a higher sensitivity to changes in AABW (Figs. S5b and S6b). The lower sensitivity of the atmospheric CO<sub>2</sub> response to changes in AABW in LOVECLIM could also be explained by the enhanced nutrient drawdown over the Southern Ocean in that model.

### 5. Impact of enhanced Antarctic Bottom Water formation on SST: experiments with an eddy-permitting ocean-sea-ice model

In Section 3.2 we have shown that enhanced AABW formation leads to a high southern latitude warming in two Earth System model through stronger meridional heat transport towards the high Southern latitudes. However, in coarse resolution models, such as the version of LOVECLIM and the UVic ESCM used

here, a number of important oceanic heat transport processes are not adequately represented. For example, the width of ocean currents is over-estimated, while their speeds are underestimated, and the influence of mesoscale eddies are parameterized with a fixed Gent–McWilliams diffusion parameter (Bryan et al., 2014). In order to test whether a warming of the Southern Ocean due to enhanced AABW formation is a robust feature, we perform further experiments using a global mesoscale eddy-permitting ocean-sea-ice model (GFDL-MOM25).

Increasing the surface salinity close to the Antarctic coast in the high-resolution experiment ANT<sub>SALT</sub> deepens the mixed layer by up to 400 m in parts of the Weddell and Ross Seas, leading to an increase in AABW formation from 12 to 25 Sv (Fig. 7a). The saltier water near Antarctica enhances the meridional density gradient across the Southern Ocean, inducing a 28% increase in the Antarctic Circumpolar Current (ACC) transport through Drake Passage (Fig. 7b). The poleward heat transport between 20°S and 70°S increases by 29%, which is twice the increase obtained in the coarse resolution models. As expected, the SST response is more spatially variable than found at coarse resolution (Fig. 7d); it increases by up to 1 °C between 50°S and 70°S in the Pacific sector and be-

tween 45°S–75°S in the Atlantic sector and decreases by up to 1 °C in the Indian sector of the Southern Ocean (40°S–50°S, 20°E–70°E and 50°S–60°S, 80°E–140°E). The zonal average SST increases by 0.1 °C between 50°S–70°S, and the upper 1000 m ocean temperature between 50°S–60°S increases by 0.15 °C (Fig. 7e). It should be noted, however, that the 10 m atmospheric fields felt by the GFDL-MOM25 ocean–sea ice model are fixed to a prescribed climatology. In particular, the Surface Air Temperature (SAT) boundary condition highly constrains the SST response to perturbations, unlike in a fully coupled model where SST anomalies can grow in amplitude due to coupled air–sea feedbacks. If the SAT constraint was removed, one may expect even greater SST warming over the Southern Ocean in response to AABW invigoration.

The physical processes impacting the upper 1000 m ocean heat content between 50°S–60°S were determined via online heat budget calculations in GFDL-MOM25 (see Appendix A). The ocean heat budget decomposition shows that the warming is primarily caused by horizontal advection tendencies explicitly resolved by the model (Fig. 7c). Heating tendencies associated with changes in near surface processes (e.g. surface buoyancy fluxes) have an overall cooling effect, and changes due to vertical advection and parameterized mixing processes are small. As shown in previous studies, the horizontal advection heating tendencies are associated with both resolved mesoscale processes and large-scale zonal asymmetries in ACC transport (Volkov et al., 2010; Spence et al., 2012).

## 6. Discussion and conclusions

We have shown that AMOC changes can explain the small amplitude Antarctic Isotope Maxima (e.g. AIM10, AIM9 and AIM7), but cannot fully explain the amplitude of the large events (i.e. AIM12 and AIM8) nor the long duration of AIM12.

The meridional heat transport reorganization due to AMOC changes leads to 0.5–1 °C temperature increase over Antarctica and at the surface of the Southern Ocean (Kageyama et al., 2013). In contrast, paleoproxy records suggest a 2 °C warming over Antarctica and at the surface of the Southern Ocean during AIM12 and AIM8 (Pahnke et al., 2003; Crosta et al., 2004; Kaiser et al., 2005; Jouzel et al., 2007; Parrenin et al., 2007; Caniupán et al., 2011; Lopes dos Santos et al., 2013). Paleoproxy records also suggest a strong decrease in Southern Hemispheric sea–ice area (Röthlisberger et al., 2002) and enhanced Southern Ocean opal production (Anderson et al., 2009) during AIM12 and AIM8. While significant uncertainties are associated with the quantitative reconstructions of these events, AIM12 and AIM8 are much larger in amplitude than the other Antarctic Isotope Maxima of Marine Isotope Stage 3 (i.e. AIM 11, 10, 9 and 7) in all high southern latitude proxy records. The amplitude of these events cannot be captured by our transient experiments performed with LOVECLIM and the UVic ESCM (L–Tr and U–Tr): we have shown that changes in North Atlantic Deep Water are insufficient to explain high southern latitude records, and that Southern Ocean processes might also play a role in shaping these events.

Our simulations show that without changes in AABW, high southern latitude warming/cooling occurs in phase with the AMOC shutdown/recovery. However, as Broecker (1998) hypothesized, we find that changes in AABW formation modulate Southern Hemispheric climate during a shutdown of the AMOC. Enhanced AABW leads to a greater meridional heat transport to high southern latitudes, a stronger Antarctic Circumpolar Current and greater vertical mixing in the Southern Ocean. A ~10 Sv stronger AABW leads to ~2 °C increase in air temperature over Antarctica in the two Earth System models (LOVECLIM and the UVic ESCM) examined here. Enhanced AABW also warms Southern Ocean surface waters by ~2 °C and ~1.2 °C in LOVECLIM and the UVic ESCM respectively.

This result is confirmed by experiments performed with a global eddy-permitting ocean–sea–ice model, which displays up to 1 °C increase in Southern Ocean surface waters as a result of a ~10 Sv AABW strengthening, despite the damping effect of a fixed climatological SAT forcing in that model run. Southern Hemispheric sea–ice retreat is further enhanced in simulations displaying stronger AABW. It should be noted that enhanced AABW can also be simulated during an AMOC shutdown if global salt compensation is applied.

Finally, in agreement with ice core records, we find that Greenland stadials have a small impact on atmospheric CO<sub>2</sub>. Without any changes in AABW, significant atmospheric CO<sub>2</sub> increases are primarily linked with the resumption of the AMOC at the end of the large Heinrich stadials. Given the GICC05 chronology (Svensson et al., 2008), we suggest that the atmospheric CO<sub>2</sub> increases occurring during Heinrich stadials 5 (~48–47 ka B.P.) and 4 (~39.8 ka B.P.) (Ahn and Brook, 2008; Ahn and Brook, 2014) could be explained by enhanced AABW transport (Menviel et al., 2014a). Changes in AABW formation could thus play a significant role in controlling the marine carbon cycle and thus the amount of CO<sub>2</sub> outgassed in the atmosphere during Heinrich stadials.

Enhanced AABW formation during Heinrich stadials could be due to changes in surface buoyancy forcing over the Southern Ocean, not captured in our simulations due to experimental design or due to the simple atmospheric models used. For example, a recent study performed with an iceberg/sediment model (Roberts et al., 2014) suggests that 0.04 Sv of freshwater was released during 500 yr into the North Atlantic during a typical Heinrich event. This is less than half of the freshwater added in our experiments. Spreading of anomalously low salinity waters from the North Atlantic to the Southern Ocean could weaken AABW formation in our experiments. Furthermore, moisture transport from the Atlantic to the Pacific across Central America decreased during Heinrich stadials (Richter and Xie, 2010) and the East Asian summer monsoon weakened (e.g. Wang et al., 2001). These processes, best represented in multi-level high resolution atmospheric GCMs, would contribute to increased surface salinity in the Pacific Ocean, with consequences for Pacific deep and bottom water formation.

AABW formation could also be enhanced during Heinrich stadials due to stronger or poleward shifted Southern Hemisphere Westerlies (Spence et al., 2013; Sheen et al., 2014). For example, Lee et al. (2011) suggested that an AMOC shutdown could induce a 25% increase in the Southern Hemisphere Westerlies via a southward shift of the ITCZ and the correspondent weakening of the Southern Hemisphere Hadley cell. LOVECLIM simulates a 10% weakening of the Southern Hemisphere Westerlies during an AMOC shutdown (Menviel et al., 2008), while there are no significant wind changes in the UVic ESCM due to the negligible wind feedback that operates in that model. The amplitude of the Northern Hemispheric stadial, the origin and timing of the meltwater pulse/iceberg discharge as well as the background climatic conditions might further modulate the AABW response.

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## Appendix A

Finite volume ocean heat budget.

The physical processes impacting the heat content in each ocean grid volume were calculated online in GFDL-MOM25. The terms required to balance a finite volume heat budget in the ocean model in the upper 1000 m follow Griffies (2012):

$T_{tendency}$  is the net change in the temperature content (i.e., heat) in a grid cell.

$T_{advection}$  is the convergence of the three dimensional advective heat flux components. Additionally, we have separated the  $T_{advection}$  term into horizontal and vertical components.

$T_{submeso}$  is the convergence of the three dimensional subgrid-scale flux components arising from the mixed-layer sub-mesoscale parameterization scheme. This term is only non-zero in the mixed layer and so is generally quite small compared to other terms in our domain of interest.

$T_{vert-diff}$  contains the impacts from vertical diffusion, including regions of gravitational instability, which is handled implicitly in time.

$T_{KPP}$  accounts for the non-local tendency arising from the K-profile parameterization boundary layer scheme. It acts as a redistribution of surface heat fluxes throughout the surface boundary layer. It is quite small in our domain of interest compared to other terms.

$T_{surface-fluxes}$  accounts for the heat tendency within the upper 50 m associated with surface radiative and buoyancy fluxes, as well as parameterized smoothing of the oceans free surface. It is calculated as the residual difference between  $T_{tendency}$  and the summation of the other four terms above.

In summary,  $T_{tendency} = T_{advection} + T_{submeso} + T_{vert-diff} + T_{KPP} + T_{surface-fluxes}$ .

## Appendix B. Supplementary material

Supplementary material related to this article can be found online at <http://dx.doi.org/10.1016/j.epsl.2014.12.050>.

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